



PTO/SB/21 (02-04)

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Application Number 10/721,533

Filing Date November 25, 2003

First Named Inventor FANG et al.

Art Unit

Examiner Name

Attorney Docket Number 5853-364

Total Number of Pages in This Submission

**ENCLOSURES (Check all that apply)**

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	PTO/SB/08B; 5 References; and 1 Postcard.
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**Firm or Individual name Neil R. Jetter  
AKERMAN SENTERFITT

Signature

Date March 18, 2004

**CERTIFICATE OF TRANSMISSION/MAILING**

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Date March 18, 2004.

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Application of FANG et al.

Application No.: 10/721,533

Examiner:

Date Filed: November 25, 2003

Group:

For: MULTI-LAYER DIFFERENTIAL PHASE SHIFT KEYING WITH  
BIT-INTERLEAVED CODED MODULATION AND OFDM

CERTIFICATE UNDER 37 CFR 1.8(a)

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Neil R. Jetter

Commissioner for Patents  
Post Office Box 1450  
Alexandria, VA 22313-1450

Date: March 18, 2004

**INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to the Duty to Disclose under 37 C.F.R. §1.56, Applicants hereby disclose information that may be relevant to the Examiner's consideration of the above-identified application and the patentability of its claims.

In accordance with Rules 56, 97, and 98 of the Rules of Practice in Patent Cases (37 C.F.R. §§ 1.56, 1.97, and 1.98), Form PTO/SB/08B and copies of references cited therein are submitted for consideration by the Examiner. While the references provided in this Information Disclosure Statement may be material to patentability pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any reference referred to herein is prior art for this invention unless specially designated as such. Also, in accordance with 37 C.F.R. § 1.97(g), the

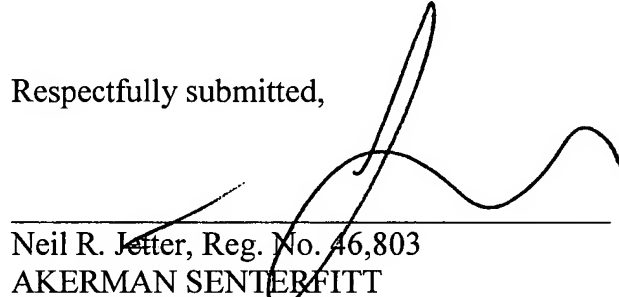
In re Application of: FANG et al.  
Application No.: 10/721,533  
Filed: November 25, 2003  
Group Art Unit:  
For: MULTI-LAYER DIFFERENTIAL PHASE SHIFT KEYING WITH  
BIT-INTERLEAVED CODED MODULATION AND OFDM

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filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

This Information Disclosure Statement is being filed before the issuance of a first office action on the merits of the application (37 C.F.R. 1.97(b)(3)); therefore, no fee is believed to be due. However, if any fee is due, the Commissioner is authorized to charge any such fee and any additional fees due or credit any overpayment to Deposit Account No. 50-0951.

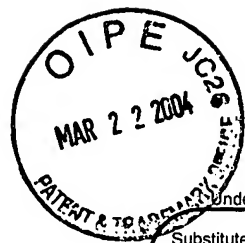
Respectfully submitted,



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Docket No. 5853-364



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Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

### Complete if Known

Application Number	10/721,533
Filing Date	November 25, 2003
First Named Inventor	FANG et al.
Art Unit	
Examiner Name	
Attorney Docket Number	5853-364

Sheet	1	of	1
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### NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		ESMAILIAN et al., "A DISCRETE MULTITONE POWER LINE COMMUNICATIONS SYSTEM," IEEE International Conference on Acoustics, Speech, and Signal Processing 2000, 5:2953-2956, June 5-9, 2000.	
		LIU et al., "WIDE BAND AC POWER LINE CHARACTERIZATION," IEEE Transactions on Consumer Electronics, pp. 1087-1097, November 1999.	
		ROHLING et al., "HIGH-RATE OFDM-MODEM WITH QUASI-COHERENT DAPSK," 1997 IEEE 47th Vehicular Technology Conference, 2055-2059, May 1997.	
		FISCHER et al., "Coded Modulation for Noncoherent Reception with Application to OFDM," IEEE Transactions on Vehicular Technology, 50:910-919, July 2001.	
		CAIRE et al., "Bit-Interleaved Coded Modulation," IEEE Transactions on Information Theory, 44:927-946, May 1998.	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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